

| SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed | | | | | | | | |
|--|---------------------------------------|---------------------------------|------------------|---------------------------------|--------------------------|---|-----------|------|
| Action | Tranche | Federal Register Notice | Publication Date | Effective Date | HTS | Duty | CSMS | CAMS |
| \$34B Action | Tranche 1 | 83 FR 28710 | 6/20/2018 | 7/6/2018 | 9903.88.01 | Plus 25% | 18-000409 | None |
| \$16B Action | Tranche 2 | 83 FR 40823 | 8/16/2018 | 8/23/2018 | 9903.88.02 | Plus 25% | 18-000493 | None |
| \$200B Action | Tranche 3 | 83 FR 47974 | 9/21/2018 | 9/24/2018 | 9903.88.03 9903.88.04 | Plus 10% | 18-000554 | None |
| \$200B Action | Tranche 3 | 84 FR 20459 | 5/9/2019 | 5/10/2019 | 9903.88.03 9903.88.04 | Plus 25% | 19-000238 | 2264 |
| \$200B Action | Tranche 3 "On the Water Provision" | 84 FR 21892 | 5/15/2019 | 05/10/2019 thru 6/15/2019 | 9903.88.09 | Plus 10% | 19-000238 | 2264 |
| | | 84 FR 26930 (Date Extension) | 6/10/2019 | | | | 19-000274 | 2288 |
| | | | | | | | 19-000296 | 2306 |
| \$300B Action | Tranche 4 | 84 FR 43304 | 8/20/2019 | 9/1/2019 | 9903.88.15 Annex A | Plus 10% | 39473933 | 2372 |
| | | | | 12/15/2019 | 9903.88.16 Annex C | | | |
| \$300B Action | Tranche 4 | 84 FR 45821 | 8/30/2019 | 9/1/2019 | 9903.88.15 | Plus 15% | 39587690 | 2383 |
| | | 85 FR 3714 | 1/22/2020 | 2/14/2020 | Annex A | Plus 7.5% | 41538917 | 2537 |
| | | 85 FR 3714 | 1/22/2020 | 12/15/2019 | 9903.88.16 Annex C | Suspended | 41538917 | 2537 |
| The reference to "sets" in 84 FR 49591 pertains to so-called "GRI 1 sets" Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of "set" (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set. | | | | | | | | |
| Sets/Tranche 3 | Tranche 1 | 84 FR 49591 | 9/20/2019 | 9/24/2018 | 9903.88.21 | Plus 25% | 40003027 | 2416 |
| Sets/Tranche 3 | Tranche 2 | 84 FR 49591 | 9/20/2019 | 9/24/2018 | 9903.88.22 | Plus 25% | 40003027 | 2416 |
| Sets/Tranche 3 | Tranche 3 | 84 FR 49591 | 9/20/2019 | 9/24/2018 | 9903.88.23 | Plus 25% Plus 10% if "on the water" | 40003027 | 2416 |
| Sets/Tranche 3 | Tranche 4 | 84 FR 49591 | 9/20/2019 | 9/24/2018 | 9903.88.24 | Plus 15% | 40003027 | 2416 |
| | | 85 FR 3714 | 1/22/2020 | 2/14/2020 | | Plus 7.5% | 41538917 | 2537 |
| Sets/Tranche 4 | Tranche 1 | 84 FR 49591 | 9/20/2019 | 9/1/2019 | 9903.88.25 | Plus 25% | 40003027 | 2416 |
| Sets/Tranche 4 | Tranche 2 | 84 FR 49591 | 9/20/2019 | 9/1/2019 | 9903.88.26 | Plus 25% | 40003027 | 2416 |
| Sets/Tranche 4 | Tranche 3 | 84 FR 49591 | 9/20/2019 | 9/1/2019 | 9903.88.27 | Plus 25% Plus 10% if "on the water" | 40003027 | 2416 |
| Sets/Tranche 4 | Tranche 4 | 84 FR 49591 | 9/20/2019 | 9/1/2019 | 9903.88.28 | Plus 15% | 40003027 | 2416 |
| | | 85 FR 3714 | 1/22/2020 | 2/14/2020 | | Plus 7.5% | 41538917 | 2537 |

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA - Product Exclusions Granted

| Round | Tranche | Federal Register Notice | Publication Date | Effective Date | HTS | CSMS | CAMS |
|------------------|--------------------|-------------------------|------------------|----------------|------------|-----------|------|
| 1 st | Tranche 1 - \$34B | 83 FR 67463 | 12/28/2018 | 7/6/2018 | 9903.88.05 | 19-000052 | 2116 |
| | | 84 FR 70616 | 12/23/2019 | | | 41167371 | |
| 2 nd | Tranche 1 - \$34B | 84 FR 11152 | 3/25/2019 | 7/6/2018 | 9903.88.06 | 19-000155 | 2208 |
| | | 85 FR 15849 | 3/19/2020 | | | 42180527 | 2618 |
| 3 rd | Tranche 1 - \$34B | 84 FR 16310 | 4/18/2019 | 7/6/2018 | 9903.88.07 | 19-000212 | 2244 |
| 4 th | Tranche 1 - \$34B | 84 FR 21389 | 5/14/2019 | 7/6/2018 | 9903.88.08 | 19-000244 | 2268 |
| 5 th | Tranche 1 - \$34B | 84 FR 25895 | 6/11/2019 | 7/6/2018 | 9903.88.10 | 19-000332 | 2318 |
| 6 th | Tranche 1 - \$34B | 84 FR 32821 | 7/9/2019 | 7/6/2018 | 9903.88.11 | 38840764 | 2328 |
| 7 th | Tranche 1 - \$34B | 84 FR 49564 | 9/20/2019 | 7/6/2018 | 9903.88.14 | 40002982 | 2415 |
| 8 th | Tranche 1 - \$34B | 84 FR 52567 | 10/02/2019 | 7/6/2018 | 9903.88.19 | 40330403 | 2446 |
| | | | | | | | |
| 1 st | Tranche 2 - \$16B | 84 FR 37381 | 7/31/2019 | 8/23/2018 | 9903.88.12 | 39169565 | 2350 |
| 2 nd | Tranche 2 - \$16B | 84 FR 49600 | 9/20/2019 | 8/23/2018 | 9903.88.17 | 40001360 | 2414 |
| 3 rd | Tranche 2 - \$16B | 84 FR 52553 | 10/02/2019 | 8/23/2018 | 9903.88.20 | 40208881 | 2429 |
| | | | | | | | |
| 1 st | Tranche 3 - \$200B | 84 FR 38717 | 8/7/2019 | 9/24/2018 | 9903.88.13 | 39268267 | 2357 |
| 2 nd | Tranche 3 - \$200B | 84 FR 49591 | 9/20/2019 | 9/24/2018 | 9903.88.18 | 40003027 | 2416 |
| 3 rd | Tranche 3 - \$200B | 84 FR 57803 | 10/28/2019 | 9/24/2018 | 9903.88.33 | 40564257 | 2456 |
| 4 th | Tranche 3 - \$200B | 84 FR 61674 | 11/13/2019 | 9/24/2018 | 9903.88.34 | 40710742 | 2466 |
| 5 th | Tranche 3 - \$200B | 84 FR 65882 | 11/29/2019 | 9/24/2018 | 9903.88.35 | 40901928 | 2479 |
| 6 th | Tranche 3 - \$200B | 84 FR 69012 | 12/17/2019 | 9/24/2018 | 9903.88.36 | 41052773 | 2496 |
| 7 th | Tranche 3 - \$200B | 85 FR 549 | 1/6/2020 | 9/24/2018 | 9903.88.37 | 41702837 | 2563 |
| 8 th | Tranche 3 - \$200B | 85 FR 6674 | 2/5/2020 | 9/24/2018 | 9903.88.38 | 41834749 | 2573 |
| 9 th | Tranche 3 - \$200B | 85 FR 9921 | 2/20/2020 | 9/24/2018 | 9903.88.40 | 41998518 | 2592 |
| 10 th | Tranche 3 - \$200B | 85 FR 15015 | 3/16/2020 | 9/24/2018 | 9903.88.41 | 42048963 | 2598 |
| 11 th | Tranche 3 - \$200B | 85 FR 17158 | 3/26/2020 | 9/24/2018 | 9903.88.43 | 42181055 | 2619 |
| | | | | | | | |
| 1 st | Tranche 4 - \$300B | 85 FR 13970 | 3/10/2020 | 9/1/2019 | 9903.88.39 | 41955151 | 2588 |
| 2 nd | Tranche 4 - \$300B | 85 FR 15244 | 3/17/2020 | 9/1/2019 | 9903.88.42 | 42049352 | 2599 |
| 3 rd | Tranche 4 - \$300B | 85 FR 17936 | 3/31/2020 | 9/1/2019 | 9903.88.44 | 42219187 | 2624 |

NOTE: As per USTR, all product exclusions apply beginning with the effective date of the Tranche and will extend for one year after the publication of the FRN granting the product exclusion, except for 9903.88.18, 9903.88.33 through 9903.88.38, 9903.88.40 and 9903.88.43 which currently applies to Tranche 3 with the effective date of September 24, 2018 and with an expiration date of August 7, 2020.